



**M FRANCIS & ASSOCIATES**  
*Practising Company Secretaries*

ISIN: INE429I01024

**Secretarial compliance report of CONSOLIDATED CONSTRUCTION CONSORTIUM LIMITED for the year Ended 31<sup>ST</sup> March 2020**

We **M. FRANCIS & ASSOCIATES, Company Secretaries** have examined:

- a) all the documents and records made available to us and explanation provided by **CONSOLIDATED CONSTRUCTION CONSORTIUM LIMITED (CIN: L45201TN1997PLC038610)** having its Registered office at **8/33, Padmavathiyar Road, Jeypore Colony, Gopalapuram, Chennai – 600 086**
- b) the filings/ submissions made by the listed entity to the stock exchanges,
- c) website of the listed entity, (website address: **www.ccclindia.com**)
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended **31<sup>ST</sup> March 2020** in respect of compliance with the provisions of :
  - a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued there under; and
  - b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued there under, have been examined, include:-

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009 & Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 (Not applicable during the year under review);
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;

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- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 1998 & Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 (Not applicable during the year under review);
- e) Securities Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 and Securities Exchange Board of India (Employee Stock Option Scheme and Employee Stock Purchase Scheme) Guidelines, 1999; (Not applicable during the year under review);
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- g) Securities and Exchange Board of India ( Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013 (Not applicable during the year under review);
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- i) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993;
- j) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;

and circulars/guidelines issued there under;

and based on the above examination, We hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under:-

Sr. No	Compliance Requirement (Regulations/circulars/ guidelines including specific clauses	Deviations	Observations/ Remarks of the Practicing Company Secretary
		NIL	

*M. Francis*





(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

<b>Sr. No.</b>	<b>Action taken by</b>	<b>Details of violation</b>	<b>Details of action taken E.g. Fines, warning letter, debarment etc.</b>	<b>Observations/remarks of the Practicing Company Secretary, if any.</b>
			<b>NIL</b>	

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

<b>Sr. No</b>	<b>Observations of the Practicing Company Secretary in the previous reports</b>	<b>Observations made in the secretarial compliance report for the year ended 2018-19</b>	<b>Actions taken by the listed entity if any</b>	<b>Comments of the Practicing Company Secretary on the actions taken by the listed entity</b>
<b>1</b>	<b>Regulation 17(1) SEBI (LODR) Regulations, 2015</b>	<b>Non-compliance with Board composition requirement</b>	<b>The Company has complied with the Regulation on December 03, 2018 by appointing two Independent Directors including a Women Independent Director. However the fines are paid to both exchanges on later date. Both NSE and BSE have approved the</b>	<b>The Company has complied with the said regulation and has paid fines to both NSE and BSE. Both the Exchanges have approved the application by the Company for</b>



			<b>application for revocation of suspension of trading on 25<sup>th</sup> February, 2020</b>	<b>the revocation of suspension of trading on February 25, 2020</b>
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**FOR M. FRANCIS & ASSOCIATES  
COMPANY SECRETARIES**



**CS M.FRANCIS  
ACS.NO.39610  
C.P NO.14967**

**PLACE : CHENNAI  
DATE : 27<sup>TH</sup> MAY, 2020**

**UDIN: A039610B000289288**